

## Chapter 6

# On $k$ -type distributional chaos in a sequence for $\mathbb{Z}^d$ -actions

In case of an abstract topological group  $S$  acting on a space, to generalize the idea of  $\omega$ -limit sets, Gottschalk and Hedlund considered a subgroup  $P$  of  $S$ , and introduced  $P$ -limit set [23]. Following the idea, Oprocha considered the  $d$ -dimensional quarter planes of  $\mathbb{Z}^d$  and introduced the concept of  $k$ -type limit sets, where  $k$  denotes the number of  $d$ -dimensional quarter plane considered [41]. Oprocha also proved the multidimensional version of Spectral Decomposition Theorem. Working in this direction, Kim and Lee have proved the Spectral Decomposition Theorem for the set of  $k$ -type nonwandering points of  $\mathbb{Z}^2$ -actions [33]. In [50, 51], the authors introduced and studied the notion of  $k$ -type Devaney chaos for  $\mathbb{Z}^d$ -actions. The notion of  $k$ -type collective sensitivity for  $\mathbb{Z}^d$ -actions is defined and studied in [52].

Continuing along this direction, in this chapter we generalize the notion of distributional chaos in terms of a  $k$ -type sequence of points in  $\mathbb{Z}^d$ , for continuous  $\mathbb{Z}^d$ -actions. In Section 6.1, we introduce and study the notion of  $k$ -type distributional chaos in a sequence for a continuous  $\mathbb{Z}^d$ -action. In Section 6.2, we give an equivalent definition of  $k$ -type Li-Yorke pair and study the connections between the existing notions of  $k$ -type weakly mixing and  $k$ -type Li-Yorke chaos. In section 6.3, we study the relation between the notions of  $k$ -type Li-Yorke chaos and  $k$ -type

distributional chaos in a sequence.

Throughout this chapter, by a dynamical system we mean an ordered pair  $(X, T)$ , where  $X$  is a compact metric space with metric  $\rho$  and  $T$  is a continuous  $\mathbb{Z}^d$ -action on  $X$ ,  $d > 1$ .

## 6.1 $k$ -type distributional chaos in a sequence

In this section, we define and study the notion of  $k$ -type distributional chaos in a sequence. In order to work with sequences in  $\mathbb{Z}^d$ , we consider the  $d$ -dimensional quarter planes of  $\mathbb{Z}^d$ . For  $d \in \mathbb{N}$ , let  $k \in \{1, 2, \dots, 2^d\}$  and let  $k^b$  represent  $k - 1$  in the  $d$ -positional binary system (i.e.  $k^b \in \{0, 1\}^d$ ,  $k = 1 + \sum_{i=1}^d k_i^b 2^{i-1}$ ). Let  $k \in \{1, 2, \dots, 2^d\}$  and let  $m = (m_1, m_2, \dots, m_d)$ ,  $n = (n_1, n_2, \dots, n_d) \in \mathbb{Z}^d$ . We write  $m \succeq^k n$  if  $(-1)^{k_i^b} m_i \geq (-1)^{k_i^b} n_i$ , for  $i = 1, 2, \dots, d$ . If all inequalities are strict, we write  $m \succ^k n$ . A sequence  $\{p_i\}$  of points in  $\mathbb{Z}^d$  is said to be a  $k$ -type increasing sequence if  $p_{i+1} \succ^k p_i$ , for all  $i \in \mathbb{N}$ . For  $n = (n_1, n_2, \dots, n_d) \in \mathbb{Z}^d$ , we denote  $\|n\| = \max\{|n_i| \mid i = 1, 2, \dots, d\}$ .

For a  $k$ -type increasing sequence  $\{p_i\}$  of points in  $\mathbb{Z}^d$ , with  $p_1 \succ^k \mathbf{0}$  and  $p_0 = \mathbf{0}$ , points  $x, y \in X$ , a real number  $\epsilon > 0$  and any positive integer  $n$ , define

$$\Phi_{xy}^{(n)}(T, \epsilon, \{p_i\}) = \frac{1}{n} \#\{0 \leq i < n \mid \rho(T^{p_i}(x), T^{p_i}(y)) < \epsilon\},$$

where  $\#A$  denotes the cardinality of the set  $A$ . Let

$$\Phi_{xy}^k(T, \epsilon, \{p_i\}) = \liminf_{n \rightarrow \infty} \Phi_{xy}^{(n)}(T, \epsilon, \{p_i\}),$$

$$\Phi_{xy}^{k,*}(T, \epsilon, \{p_i\}) = \limsup_{n \rightarrow \infty} \Phi_{xy}^{(n)}(T, \epsilon, \{p_i\}),$$

then  $\Phi_{xy}^k(T, \epsilon, \{p_i\})$  and  $\Phi_{xy}^{k,*}(T, \epsilon, \{p_i\})$  are called the lower and the upper distribution functions for  $T$  with respect to the  $k$ -type increasing sequence  $\{p_i\}$ , respectively.

Clearly, for every  $\epsilon > 0$ ,  $\Phi_{xy}^k(T, \epsilon, \{p_i\}) \leq \Phi_{xy}^{k,*}(T, \epsilon, \{p_i\})$ .

**Definition 6.1.1.** A subset  $D$  of  $X$  is said to be  $k$ -type distributionally scrambled set for  $T$  in the  $k$ -type increasing sequence  $\{p_i\}$ , if for any  $x, y \in D$  with  $x \neq y$  we have

(i)  $\Phi_{xy}^k(\delta, \{p_i\}) = 0$ , for some  $\delta > 0$ , and

(ii)  $\Phi_{xy}^{k,*}(\epsilon, \{p_i\}) = 1$ , for all  $\epsilon > 0$ .

Such a pair  $(x, y)$  is called *k-type distributionally chaotic pair for  $T$  in the sequence  $\{p_i\}$* . We denote by  $DCR^k(T, \{p_i\})$  the collection of all points  $(x, y) \in X \times X$  such that  $(x, y)$  is *k-type distributionally chaotic pair for  $T$  in the  $k$ -type increasing sequence  $\{p_i\}$*  and call it the *k-type distributionally chaotic relation for  $T$  with respect to the  $k$ -type increasing sequence  $\{p_i\}$* .

**Definition 6.1.2.** A  $\mathbb{Z}^d$ -action  $T$  on  $X$  is said to be *k-type distributionally chaotic in a sequence* if there exists a *k-type increasing sequence  $\{p_i\}$*  such that  $T$  has an uncountable *k-type distributionally scrambled set in the sequence  $\{p_i\}$* .

*Remark 6.1.3.* For  $d = 1$ , the given definition of 1-type distributional chaos in a sequence coincides with the definition of distributional chaos in a sequence (See [65]).

**Example 6.1.4.** Consider  $T : \mathbb{Z}^2 \times S^1 \rightarrow S^1$  defined by  $T((n_1, n_2), \theta) = 2^{n_1+n_2}\theta$ . Then  $T$  is *k-type distributionally chaotic in a sequence* for  $k \in \{1, 2, 3\}$  but not 4-type distributionally chaotic in a sequence. Observe that, for any 4-type increasing sequence  $\{p_i\}$  in  $\mathbb{Z}^2$  and points  $\theta_1, \theta_2 \in S_1$ ,  $\rho(T^{p_i}(\theta_1), T^{p_i}(\theta_2)) \rightarrow 0$  as  $i \rightarrow \infty$ , where  $\rho$  is a usual metric on  $S_1$ . This implies that  $\Phi_{\theta_1\theta_2}^4(T, \epsilon, \{p_i\}) = \Phi_{\theta_1\theta_2}^{4,*}(T, \epsilon, \{p_i\}) = 1$ , for all  $\epsilon > 0$ .

**Proposition 6.1.5.** Let  $T_1 : \mathbb{Z}^d \times X \rightarrow X$  and  $T_2 : \mathbb{Z}^d \times Y \rightarrow Y$  be two  $\mathbb{Z}^d$ -actions on compact metric spaces  $(X, \rho_1)$  and  $(Y, \rho_2)$ , respectively. If  $T_1$  and  $T_2$  are topologically conjugate then  $T_1$  is *k-type distributionally chaotic in a sequence* implies  $T_2$  is *k-type distributionally chaotic in a sequence*.

*Proof.* Suppose that  $T_1$  is *k-type distributionally chaotic in a sequence  $\{p_i\}$* , where  $\{p_i\}$  is a *k-type increasing sequence of points in  $\mathbb{Z}^d$* , with  $p_1 \stackrel{k}{\succ} \mathbf{0}$  and  $p_0 = \mathbf{0}$ . Since  $T_1$  and  $T_2$  are topologically conjugate, there exists a homeomorphism  $h : X \rightarrow Y$

such that  $h \circ T_1^n = T_2^n \circ h$ , for all  $n \in \mathbb{Z}^d$ . By uniform continuity of  $h$ , for any  $\epsilon > 0$  there exists  $\delta > 0$  such that  $\rho_1(x_1, x_2) < \delta$  implies  $\rho_2(h(x_1), h(x_2)) < \epsilon$ . For  $x_1, x_2 \in X$ , choose  $y_1 = h(x_1)$  and  $y_2 = h(x_2)$ . Then,

$$\begin{aligned}
\Phi_{x_1 x_2}^{(n)}(T_1, \delta, \{p_i\}) &= \frac{1}{n} \#\{0 \leq i < n \mid \rho_1(T_1^{p_i}(x_1), T_1^{p_i}(x_2)) < \delta\} \\
&\leq \frac{1}{n} \#\{0 \leq i < n \mid \rho_2(h(T_1^{p_i}(x_1)), h(T_1^{p_i}(x_2))) < \epsilon\} \\
&= \frac{1}{n} \#\{0 \leq i < n \mid \rho_2(T_2^{p_i}(h(x_1)), T_2^{p_i}(h(x_2))) < \epsilon\} \\
&= \frac{1}{n} \#\{0 \leq i < n \mid \rho_2(T_2^{p_i}(y_1), T_2^{p_i}(y_2)) < \epsilon\} \\
&= \Phi_{y_1 y_2}^{(n)}(T_2, \epsilon, \{p_i\}).
\end{aligned}$$

Similarly by uniform continuity of  $h^{-1}$ , for any  $\epsilon > 0$  there exists  $\delta > 0$  such that  $\Phi_{y_1 y_2}^{(n)}(T_2, \delta, \{p_i\}) \leq \Phi_{x_1 x_2}^{(n)}(T_1, \epsilon, \{p_i\})$ .

Since  $T_1$  is  $k$ -type distributionally chaotic in a sequence  $\{p_i\}$ ,  $X$  has an uncountable  $k$ -type distributionally scrambled set  $D$  in sequence  $\{p_i\}$  for  $T_1$ . Then  $h(D)$  is an uncountable subset of  $Y$ . Moreover, for any  $y_1 = h(x_1), y_2 = h(x_2) \in h(D)$  with  $y_1 \neq y_2$ ,  $\Phi_{y_1 y_2}^{k,*}(T_2, t, \{p_i\}) = 1$ , for all  $t > 0$ , and  $\Phi_{y_1 y_2}^k(T_2, \delta, \{p_i\}) = 0$ , for some  $\delta > 0$ , as  $\Phi_{x_1 x_2}^{k,*}(T_1, t, \{p_i\}) = 1$ , for all  $t > 0$ , and  $\Phi_{x_1 x_2}^k(T_1, \epsilon, \{p_i\}) = 0$ , for some  $\epsilon > 0$ . Thus,  $h(D)$  is uncountable  $k$ -type distributionally scrambled set in sequence  $\{p_i\}$  for  $T_2$ . Hence  $T_2$  is  $k$ -type distributionally chaotic in a sequence  $\{p_i\}$ .  $\square$

We recall here some definitions and notions defined for continuous  $\mathbb{Z}^d$ -actions, in this direction. For a point  $x \in X$ , the set  $O_T^k(x) = \{T^n(x) \mid n \in \mathbb{Z}^d, n \succ^k \mathbf{0}\}$  is called  $k$ -type orbit of  $x$ . A point  $x \in X$  is said to be a  $k$ -type periodic point if there exists an  $n \in \mathbb{Z}^d$  with  $n \succ^k \mathbf{0}$  such that  $T^n(x) = x$ .

**Definition 6.1.6** [41]. A  $\mathbb{Z}^d$ -action  $T$  on  $X$  is said to be  $k$ -type transitive if for any two nonempty open sets  $U, V \subset X$  there exists an  $n \in \mathbb{Z}^d$  with  $n \succ^k \mathbf{0}$  such that  $T^n(U) \cap V \neq \emptyset$ .

**Definition 6.1.7** [50]. A  $\mathbb{Z}^d$ -action  $T$  on  $X$  is said to be  $k$ -type weakly mixing if for every pair of nonempty open sets  $(G_1, G_2), (H_1, H_2) \subset X \times X$  there exists an  $n \in \mathbb{Z}^d$  with  $n \succ^k \mathbf{0}$  such that  $T^n(G_i) \cap H_i \neq \emptyset$  for  $i = 1, 2$ .

## 6.2 $k$ -type Li-Yorke Chaos

This section is devoted to the study of  $k$ -type Li-Yorke chaos defined for a continuous  $\mathbb{Z}^d$ -action on metric space. In [51], the authors introduced the notion of  $k$ -type Li-Yorke pairs for  $\mathbb{Z}^d$ -actions on metric spaces. A pair  $(x, y) \in X \times X$ ,  $x \neq y$ , is said to be a  $k$ -type Li-Yorke pair if there exists a  $k$ -type increasing sequence  $\{p_i\}$ , such that

$$\liminf_{i \rightarrow \infty} \rho(T^{p_i}(x), T^{p_i}(y)) = 0 \text{ and } \limsup_{i \rightarrow \infty} \rho(T^{p_i}(x), T^{p_i}(y)) > 0.$$

In the above definition, for  $d = 1$ , if we consider the sequence of positive integers, then it coincides with the definition of Li-Yorke pair as defined in [36].

**Definition 6.2.1.** For a  $k$ -type increasing sequence  $\{p_i\}$  of points in  $\mathbb{Z}^d$ , with  $p_1 \succ^k \mathbf{0}$ , a pair of points  $x, y$  in  $X$  is said to be

- (i)  $k$ -type proximal with respect to sequence  $\{p_i\}$  if for any  $\epsilon > 0$  there exists  $i \in \mathbb{N}$  such that  $\rho(T^{p_i}(x), T^{p_i}(y)) < \epsilon$ .
- (ii)  $k$ -type asymptotic with respect to sequence  $\{p_i\}$  if for any  $\epsilon > 0$  there exists  $n \in \mathbb{N}$  such that  $\rho(T^{p_i}(x), T^{p_i}(y)) < \epsilon$ , for all  $i \geq n$ .

We denote the set of all  $k$ -type proximal pairs with respect to sequence  $\{p_i\}$  by  $PR^k(T, \{p_i\})$  and the set of all  $k$ -type asymptotic pairs with respect to sequence  $\{p_i\}$  by  $AR^k(T, \{p_i\})$ .

**Definition 6.2.2.** A pair of points  $x, y$  in  $X$  is said to be  $k$ -type distal with respect to sequence  $\{p_i\}$  if they are not  $k$ -type proximal with respect to sequence  $\{p_i\}$ .

The set of all  $k$ -type distal pairs with respect to sequence  $\{p_i\}$  is denoted by  $DR^k(T, \{p_i\})$ . In the above given terminologies, we reformulate the definition of Li-Yorke pair in the following manner:

**Definition 6.2.3.** A pair  $(x, y) \in X \times X$  to be  $k$ -type Li-Yorke pair if there exists  $k$ -type increasing sequences  $\{m_i\}, \{n_i\}$ , where  $m_1 \succ^k \mathbf{0}, n_1 \succ^k \mathbf{0}$ , such that

$$(x, y) \in AR^k(T, \{m_i\}) \cap DR^k(T, \{n_i\}).$$

A subset  $S \subset X$  such that any pair of distinct points  $x, y$  in  $S$  is a  $k$ -type Li-Yorke pair is called  $k$ -type Li-Yorke scrambled set.

**Definition 6.2.4.** A  $\mathbb{Z}^d$ -action  $T$  on a metric space  $(X, \rho)$  is said to be  $k$ -type Li-Yorke chaotic if there exists an uncountable  $k$ -type Li-Yorke scrambled set  $S \subset X$ .

**Lemma 6.2.5.** Let  $(X, \rho)$  be a compact metric space and let  $T$  be a continuous  $\mathbb{Z}^d$ -action on  $X$ . If  $(Y, T|_Y)$  is  $k$ -type transitive subsystem of  $(X, T)$  and  $x \in X$  is such that  $O_T^k(x)$  is dense in  $Y$  then for each open set  $U$  in  $Y$ , the set  $\{t \succ^k \mathbf{0} \mid T^t(x) \in U\}$  is not  $k$ -type bounded above.

*Proof.* Suppose  $U$  is open in  $Y$  and  $m_0 \succ^k \mathbf{0}$ . We will prove that there exists a  $t \succ^k m_0$  such that  $T^t(x) \in U$ . For  $x \in X$  and  $m \succ^k \mathbf{0}$ , we write

$$S(x, m) = \{T^t(x) \mid t \succeq^k \mathbf{0} \text{ and } \|t\| \leq \|m\|\}.$$

First, we assume that  $S(x, m_0) \subset Y$ . Then the set  $V = Y - S(x, m_0)$  is a nonempty open set in  $Y$ . Since  $T|_Y$  is  $k$ -type transitive, there exist  $v \in V$  and  $t_v \succ^k \mathbf{0}$  such that  $T^{t_v}(v) \in U$ . If  $v \in O_T^k(x)$ , then there exists  $t_0 \succ^k m_0$  such that  $v = T^{t_0}(x)$ . Let  $t = t_0 + t_v$ , then  $t \succ^k m_0$  and we have  $T^t(x) = T^{t_v}(T^{t_0}(x)) = T^{t_v}(v) \in U$ . Also if  $v \notin O_T^k(x)$ , then there exist a  $k$ -type increasing sequence  $\{t_i\}$  such that  $T^{t_i}(x) \rightarrow v$  for  $i \rightarrow \infty$ , which implies that  $T^{t_v}(T^{t_i}(x)) \rightarrow T^{t_v}(v)$ . Thus, in either case we have  $T^t(x) \in U$ , for some  $t \succ^k m_0$ .

Next, we assume that  $Y \subset S(x, m_0)$ . Since  $O_T^k(x)$  is dense in  $Y$ ,  $U \cap O_T^k(x) \neq \emptyset$ . Choose  $y \in U \cap O_T^k(x)$ , so  $y = T^{t_y}(x)$ , for some  $t_y \succ^k \mathbf{0}$ . As  $T : Y \rightarrow Y$ ,  $T^t(y) \in Y$ , for each  $t \succ^k m_0$ . Also  $Y \subset S(x, m_0)$  implies that there exist a periodic semi-orbit  $P \subset S(x, m_0)$  such that  $T^t(y) \in P$ , for some  $t \succ^k \mathbf{0}$ . Let

$$\lambda = \min\{\|t\| \mid t \succ^k \mathbf{0} \text{ and } T^t(y) \in P\},$$

and define

$$B\left(y, \frac{\lambda}{2}\right) = \left\{T^t(y) \mid t \succ^k \mathbf{0} \text{ and } \|t\| \geq \frac{\lambda}{2}\right\}.$$

Further, let  $V_1 = Y - \{T^t(x) \mid t \stackrel{k}{\succeq} \mathbf{0} \text{ and } \|t\| \leq \|m\| + \frac{\lambda}{2}\}$ ,  $V_2 = Y - B(y, \frac{\lambda}{2})$ . If  $\lambda > 0$ , then  $V_1, V_2$  are both nonempty open subsets of  $Y$ . For any  $q \in V_1$ ,  $q = T^{t_1}(x)$ , where  $\|t_1\| > \|t_y\| + \frac{\lambda}{2}$ . Therefore  $q = T^{t_q}(y)$ , where  $t_q = t_1 - t_y$  and  $\|t_q\| > \frac{\lambda}{2}$ . Thus for any  $t \stackrel{k}{\succ} \mathbf{0}$ ,  $T^t(q) = T^{t+t_q}(y) \in B(y, \frac{\lambda}{2})$ , since  $\|t + t_q\| > \frac{\lambda}{2}$ . By definition of  $V_2$ ,  $T^t(q) \notin V_2$ , for all  $t \stackrel{k}{\succ} \mathbf{0}$ . Hence for each  $t \stackrel{k}{\succ} \mathbf{0}$ ,  $T^t(V_1) \cap V_2 = \emptyset$ , which contradicts the transitivity of  $T|_Y$ . Thus  $\lambda = 0$  and hence  $T^{\mathbf{0}}(y) = y \in P$ . Since  $P$  is periodic, there exists a  $t \stackrel{k}{\succ} t_0$  such that  $T^t(x) = y \in U$ .  $\square$

**Theorem 6.2.6.** *Let  $(X, \rho)$  be a compact metric space without isolated points and let  $T$  be a continuous  $\mathbb{Z}^d$ -action on  $X$ . If  $T$  is  $k$ -type weakly mixing then  $T$  is  $k$ -type Li-Yorke chaotic.*

*Proof.* Since  $X$  is a second countable space,  $X \times X$  has a countable open base, say  $G_1, G_2, \dots$ . For each  $n \in \mathbb{N}$ , let

$$D_n = \bigcup \{(T^{-t} \times T^{-t})(G_n) \mid t \in \mathbb{Z}^d, t \stackrel{k}{\succ} \mathbf{0}\}.$$

Clearly for each  $n \in \mathbb{N}$ ,  $D_n$  is a nonempty open set in  $X \times X$ . Further, since  $T$  is  $k$ -type weakly mixing,  $T \times T$  is  $k$ -type transitive and hence each  $D_n$  is dense in  $X \times X$ . Consider the set  $D = \bigcap_{n=1}^{\infty} D_n$ . Then  $D$  is a countable intersection of dense sets in  $X \times X$ . Choose any  $x_0, y_0 \in X$ , with  $x_0 \neq y_0$ .

By choice of  $D$ , orbit of any pair in  $D$  is dense in  $X \times X$ . Thus, for any  $(x, y) \in D$  with  $x \neq y$ , from Lemma 6.2.5, it follows that any open set containing  $(x_0, x_0)$  contains infinite number of points of type  $(T^t(x), T^t(y))$ ,  $t \stackrel{k}{\succ} \mathbf{0}$ . Therefore, there exist a  $k$ -type increasing sequence  $\{m_i\}$ , where  $m_1 \stackrel{k}{\succ} \mathbf{0}$  such that

$$\lim_{i \rightarrow \infty} \rho(T^{m_i}(x), T^{m_i}(y)) = \rho(x_0, x_0) = 0.$$

Thus,  $(x, y) \in AR^k(T, \{m_i\})$ .

Similarly, there exist a  $k$ -type increasing sequence  $\{n_i\}$  with  $n_1 \stackrel{k}{\succ} \mathbf{0}$  such that

$$\lim_{i \rightarrow \infty} \rho(T^{n_i}(x), T^{n_i}(y)) = \rho(x_0, y_0) > 0.$$

Thus,  $(x, y) \in DR^k(T, \{n_i\})$ . This proves that

$$(x, y) \in AR^k(T, \{m_i\}) \cap DR^k(T, \{n_i\}).$$

Hence  $(x, y)$  is a Li-Yorke scrambled pair. Since  $(x, y) \in D$  with  $x \neq y$  is arbitrary, it follows that  $T$  is  $k$ -type Li-Yorke chaotic.  $\square$

### 6.3 Relation between $k$ -type Li-Yorke chaos and $k$ -type distributional chaos in sequence

In this section, we study the connection between the notions of  $k$ -type Li-Yorke chaos and  $k$ -type distributional chaos in sequence. Essentially, we prove that the notion of  $k$ -type Li-Yorke chaos is equivalent to  $k$ -type distributional chaos in sequence. From the definitions, it follows that if  $T$  is  $k$ -type distributionally chaotic in a sequence, then  $T$  is  $k$ -type Li-Yorke chaotic.

In order to prove that  $k$ -type Li-Yorke chaos implies  $k$ -type distributional chaos in sequence, we consider an equivalent definition of  $k$ -type distributional chaotic pair in terms of relative density of sequences. For a  $k$ -type increasing sequence  $Q = \{q_i\}$  of points in  $\mathbb{Z}^d$  with  $q_1 \succ^k \mathbf{0}$  and any positive integer  $t$ , let

$$C_Q(t) = \#\{i \geq 1 \mid \|q_i\| \leq t\},$$

If  $\lim_{t \rightarrow \infty} \frac{C_Q(t)}{t^d}$  exists, then this limit is called the  $k$  density of sequence  $Q$  and is denoted by  $\tau_k(Q)$ .

**Definition 6.3.1.** Suppose  $Q = \{q_i\}$  is a  $k$ -type increasing sequence of points in  $\mathbb{Z}^d$  with  $q_1 \succ^k \mathbf{0}$  and  $P = \{p_j\}$  is a subsequence of  $Q$ . Then the limit

$$\limsup_{i \rightarrow \infty} \frac{\#\{j \geq 1 \mid q_i \succ^k p_j\}}{i},$$

is called the upper density of sequence  $P$  relative to sequence  $Q$  and is denoted by  $\tau^*(P|Q)$ .

The notion of  $k$ -type distributionally chaotic pair for  $T$  in a sequence  $Q$  can be reformulated in the following manner:

Let  $Q = \{q_i\}$  be a  $k$ -type increasing sequence of points in  $\mathbb{Z}^d$  with  $q_1 \succ^k \mathbf{0}$ . For every  $a \in [0, 1]$ , let

$$D_Q(a) = \{P \subseteq \mathbb{Z}^d \mid P \cap Q \text{ is infinite and } \tau^*(P \mid Q) \geq a\}.$$

For  $x \in X$  and  $A \subseteq X$ , define

$$N^k(x, A) = \{n \in \mathbb{Z}^d \mid n \succ^k \mathbf{0} \text{ and } T^n(x) \in A\} \text{ and}$$

$$\mathcal{F}^k(A, Q, a) = \{x \in X \mid N^k(x, A) \in D_Q(a)\}.$$

Recall that, for a subset  $A$  of  $X$ , the set  $A_\delta = \{x \in X \mid \inf_{y \in A} \rho(x, y) < \delta\}$  is called the  $\delta$  neighborhood of  $A$ .

In the above given terminologies, we get a pair  $(x, y) \in X \times X$  is  $k$ -type distributionally chaotic pair for  $T$  in a sequence  $Q$  if and only if

(i) for some  $\delta > 0$ ,  $(x, y) \in \mathcal{F}^k(X \times X \setminus \overline{[\Delta]}_\delta, Q, 1)$ , and

(ii) for any  $\epsilon > 0$ ,  $(x, y) \in \mathcal{F}^k([\Delta]_\epsilon, Q, 1)$ ,

where  $\Delta = \{(x, x) \mid x \in X\}$  denotes the diagonal of the product space  $X \times X$ , and  $[\Delta]_\epsilon$  denotes the  $\epsilon$  neighborhood of  $\Delta$  in the product space  $X \times X$ . The metric on the product space  $X \times X$  is given by  $\rho((x_1, y_1), (x_2, y_2)) = \max\{\rho(x_1, x_2), \rho(y_1, y_2)\}$ .

To prove the equivalence, we need the following key lemmas. Lemma 6.3.2 and Lemma 6.3.3 are proved along the lines of analogous result proved for sequence of positive integers in [24]. We present the proofs here for completion.

**Lemma 6.3.2.** *Let  $Q_j = \{q_i^j\}$  be  $k$ -type increasing sequences of points in  $\mathbb{Z}^d$  with  $q_1^j \succ^k \mathbf{0}$ , for each  $j \in \{1, 2, \dots, l\}$ . Then there exists a  $k$ -type increasing sequence  $P = \{p_i\}$  of points in  $\mathbb{Z}^d$  such that*

$$\tau^*(P \cap Q_j \mid P) = 1,$$

for each  $j = 1, 2, \dots, l$ .

*Proof.* By Definition 6.3.1, we only need to prove that for each  $j = 1, 2, \dots, l$ , there exists a sequence  $\{i_m\}$  of positive integer such that

$$\lim_{m \rightarrow \infty} \frac{\#\{i \geq 1 \mid p_{i_m} \stackrel{k}{\succ} q_i^j, q_i^j \in P\}}{i_m} = 1.$$

Choose  $i_m = m!$ , and let  $\{p_i\}$  be a  $k$ -type increasing sequence of points in  $\mathbb{Z}^d$  such that,

$$\{p_s \mid i_m \leq s < i_{m+1}\} \subset Q_j,$$

for each  $j = 1, 2, \dots, l$  whenever  $(m-1) \equiv j \pmod{l+1}$ . For each fixed  $j$ , it is easy to see that  $\#\{s \geq 1 \mid p_s \in P \cap Q_j\} \geq i_{h,l+j} - i_{h,l+(j-1)}$ . Thus,

$$\begin{aligned} \lim_{h \rightarrow \infty} \frac{C_{P \cap Q_j}(i_{h,l+j})}{i_{h,l+j}} &\geq \lim_{h \rightarrow \infty} \frac{i_{h,l+j} - i_{h,l+(j-1)}}{i_{h,l+j}} \\ &= \lim_{h \rightarrow \infty} \left(1 - \frac{i_{h,l+(j-1)}}{i_{h,l+j}}\right) \\ &= \lim_{h \rightarrow \infty} \left(1 - \frac{1}{h.l+j}\right) \\ &= 1. \end{aligned}$$

□

**Lemma 6.3.3.** *Let  $Q_j = \{q_i^j\}$  be  $k$ -type increasing sequences of points in  $\mathbb{Z}^d$  with  $q_1^j \stackrel{k}{\succ} \mathbf{0}$ , for each  $j \in \{1, 2, \dots\}$ . Then there exists a  $k$ -type increasing sequence  $P = \{p_i\}$  of points in  $\mathbb{Z}^d$  such that*

$$\tau^*(P \cap Q_j \mid P) = 1,$$

for each  $j = 1, 2, \dots$

*Proof.* Let  $i_m = m!$ , and choose a  $k$ -type increasing sequence  $\{p_i\}$  of points in  $\mathbb{Z}^d$  as follows:

For each  $j = 1, 2, \dots$ , when  $\frac{(l-1)l}{2} = \sum_{j=1}^{l-1} j \leq s < \sum_{j=1}^l j = \frac{l(l+1)}{2}$ ,

$$\begin{aligned} \{p_s \mid i_{\frac{(l-1)l}{2}} \leq s < i_{\frac{(l-1)l}{2}+1}\} &\subset Q_1, \\ \{p_s \mid i_{\frac{(l-1)l}{2}+1} \leq s < i_{\frac{(l-1)l}{2}+2}\} &\subset Q_2, \\ &\vdots \\ \{p_s \mid i_{\frac{l(l+1)}{2}-1} \leq s < i_{\frac{l(l+1)}{2}+1}\} &\subset Q_l, \end{aligned}$$

Then for each fixed  $j$ , we get

$$\begin{aligned} \lim_{l \rightarrow \infty} \frac{C_{P \cap Q_j}(i_{\frac{(l-1)l}{2}+j})}{i_{\frac{(l-1)l}{2}+j}} &\geq \lim_{l \rightarrow \infty} \frac{i_{\frac{(l-1)l}{2}+j} - i_{\frac{(l-1)l}{2}+(j-1)}}{i_{\frac{(l-1)l}{2}+j}} \\ &= \lim_{l \rightarrow \infty} \left( 1 - \frac{i_{\frac{(l-1)l}{2}+(j-1)}}{i_{\frac{(l-1)l}{2}+j}} \right) \\ &= \lim_{l \rightarrow \infty} \left( 1 - \frac{1}{\frac{(l-1)l}{2} + j} \right) \\ &= 1. \end{aligned}$$

□

**Lemma 6.3.4.** *Let  $(X, \rho)$  be a compact metric space and let  $T$  be a continuous  $\mathbb{Z}^d$ -action on  $X$ . If  $\{m_i\}$  and  $\{n_i\}$  are  $k$ -type increasing sequences of points in  $\mathbb{Z}^d$ , where  $m_1 \succ^k \mathbf{0}$ ,  $n_1 \succ^k \mathbf{0}$ , then there exists a  $k$ -type increasing sequence  $\{p_i\}$  of points in  $\mathbb{Z}^d$  with  $p_1 \succ^k \mathbf{0}$ , such that*

$$DR^k(T, \{m_i\}) \cap AR^k(T, \{n_i\}) \subset DCR^k(T, \{p_i\}).$$

*Proof.* Let  $b_1 = 2$ ,  $b_i = 2^{b_1+b_2+\dots+b_{i-1}}$  for  $i > 1$ . Then  $\{b_i\}$  is an increasing sequence of positive integers. Let

$$p_i = \begin{cases} m'_i, & \text{if } i \leq b_1 \text{ or } \sum_{j=1}^{2k} b_j < i \leq \sum_{j=1}^{2k+1} b_j, k \in \mathbb{N} \\ n'_i, & \text{otherwise} \end{cases}$$

where  $\{m'_i\}$  and  $\{n'_i\}$  are sub-sequences of  $\{m_i\}$  and  $\{n_i\}$  respectively, with  $m'_l \succ^k n'_j$ ,  $n'_l \succ^k m'_j$  for any  $l > j$ . Then  $\{p_i\}$  is a  $k$ -type increasing sequence of points in  $\mathbb{Z}^d$ .

Let  $(x, y) \in DR^k(T, \{m_i\}) \cap AR^k(T, \{n_i\})$ . Then  $(x, y) \in DR^k(T, \{m_i\})$  implies that there exists a  $\delta > 0$  such that  $\rho(T^{m_i}(x), T^{m_i}(y)) > \delta$ , for all  $i \in \mathbb{N}$ . Thus,

$$\begin{aligned}
\Phi_{xy}^k(T, \delta, \{p_i\}) &= \liminf_{n \rightarrow \infty} \frac{1}{n} \#\{0 \leq i < n \mid \rho(T^{p_i}(x), T^{p_i}(y)) < \delta\} \\
&\leq \lim_{i \rightarrow \infty} \frac{1}{j_i} \#\{0 \leq k < j_i \mid \rho(T^{p_k}(x), T^{p_k}(y)) < \delta\} \text{ (where } j_i = \sum_{h=1}^{2i+1} b_h) \\
&\leq \lim_{i \rightarrow \infty} \frac{b_1 + b_2 + \cdots + b_{2i}}{j_i} \\
&= \lim_{i \rightarrow \infty} \frac{b_1 + b_2 + \cdots + b_{2i}}{b_1 + b_2 + \cdots + b_{2i} + 2^{b_1 + b_2 + \cdots + b_{2i}}} \\
&= 0.
\end{aligned}$$

Further,  $(x, y) \in AR^k(T, \{n_i\})$  implies that for any  $\epsilon > 0$  there exist a positive integer  $N > 0$  such that  $\rho(T^{n_i}(x), T^{n_i}(y)) < \epsilon$ , for all  $i > N$ . Thus,

$$\begin{aligned}
\Phi_{xy}^k(T, \epsilon, \{p_i\}) &= \limsup_{n \rightarrow \infty} \frac{1}{n} \#\{0 \leq i < n \mid \rho(T^{p_i}(x), T^{p_i}(y)) < \epsilon\} \\
&\geq \lim_{i \rightarrow \infty} \frac{1}{l_i} \#\{0 \leq k < l_i \mid \rho(T^{p_k}(x), T^{p_k}(y)) < \delta\} \text{ (where } l_i = \sum_{h=1}^{2i} b_h) \\
&\geq \lim_{i \rightarrow \infty} \frac{b_{2i}}{l_i} \\
&= \lim_{i \rightarrow \infty} \frac{2^{b_1 + b_2 + \cdots + b_{2i}}}{b_1 + b_2 + \cdots + b_{2i-1} + 2^{b_1 + b_2 + \cdots + b_{2i-1}}} \\
&= 1.
\end{aligned}$$

Hence  $(x, y) \in DCR^k(T, \{p_i\})$ . □

**Lemma 6.3.5.** *Let  $(X, \rho)$  be a compact metric space and let  $T$  be a continuous  $\mathbb{Z}^d$ -action on  $X$ . If  $S \subset X$  is a countable  $k$ -type Li-Yorke scrambled set, then there exists a  $k$ -type increasing sequence  $\{p_i\}$  of points in  $\mathbb{Z}^d$  with  $p_1 \succ^k \mathbf{0}$ , such that  $S$  is  $k$ -type distributionally scrambled set in the sequence  $\{p_i\}$ .*

*Proof.* For any pair of distinct points  $x, y \in S$ , by definition of a Li-Yorke scrambled set, there exist  $k$ -type increasing sequences  $\{m_i\}$  and  $\{n_i\}$  of points in  $\mathbb{Z}^d$  with  $m_1 \succ^k \mathbf{0}$ ,  $n_1 \succ^k \mathbf{0}$ , such that  $(x, y) \in AR^k(T, \{m_i\}) \cap DR^k(T, \{n_i\})$ . Using Lemma 6.3.4, there exists a  $k$ -type increasing sequence  $Q_{xy} = \{q_i^{(x,y)}\}$  of points in  $\mathbb{Z}^d$  such that

$(x, y) \in DCR^k(T, \{q_i^{(x,y)}\})$ . Then for  $k$ -type increasing sequences  $Q_{xy} = \{q_i^{(x,y)}\}$ ,  $x \neq y$  in  $S$ , by Lemma 6.3.3 there exists a  $k$ -type increasing sequence  $P = \{p_i\}$  of points in  $\mathbb{Z}^d$  with  $p_1 \succ^k \mathbf{0}$ , such that

$$\tau^*(P \cap Q_{xy} \mid P) = 1,$$

for all  $x \neq y$  in  $S$ . Thus  $(x, y) \in DCR^k(T, \{p_i\})$ , for all  $x \neq y$  in  $S$ . Hence,  $S$  is  $k$ -type distributionally scrambled set in the sequence  $\{p_i\}$ .  $\square$

**Lemma 6.3.6.** *Let  $(X, \rho)$  be a compact metric space and let  $T$  be a continuous  $\mathbb{Z}^d$ -action on  $X$ . If  $Q = \{q_i\}$  is a  $k$ -type increasing sequence of points in  $\mathbb{Z}^d$  with  $q_1 \succ^k \mathbf{0}$  and  $a \in [0, 1]$ , then for any nonempty open subset  $A$  of  $X$ ,  $\mathcal{F}^k(A, Q, a)$  is a  $G_\delta$  set.*

*Proof.* For  $m \in \mathbb{N}$ , let  $g_m : X \rightarrow [0, 1]$  be given by

$$x \mapsto \frac{1}{m} \#\{1 \leq i \leq m \mid T^{q_i}x \in A\}$$

and

$$g : X \rightarrow [0, 1], \quad x \mapsto \tau^*(N^k(x, A) \mid Q).$$

Clearly,  $g(x) = \limsup_{m \rightarrow \infty} g_m(x)$ . Since  $A$  is open, we have that each  $g_m$  is continuous, and this implies that  $g$  is lower semi-continuous. From the definition of semi-continuity, it follows that  $\{x \in X \mid g(x) > a - 1/r\}$  is open for every  $r \in \mathbb{N}$ . Therefore,

$$\mathcal{F}^k(A, Q, a) = \{x \in X \mid g(x) \geq a\} = \bigcap_{r=1}^{\infty} \left\{ x \in X \mid g(x) > a - \frac{1}{r} \right\}$$

is a  $G_\delta$  subset of  $X$ .  $\square$

**Lemma 6.3.7 [27].** *Let  $(X, \rho)$  be a complete separable metric space without isolated points. If  $R$  is a symmetric relation on  $X$  which contains a dense  $G_\delta$  subset  $A$  of  $X$  such that for each  $x \in A$ ,  $R(x)$  contains a dense  $G_\delta$  set, then there is an uncountable dense subset  $B$  of  $X$  such that  $B \times B \setminus \Delta \subset R$ .*

**Lemma 6.3.8** [27]. *Let  $(X, \rho)$  be a complete separable metric space without isolated points. If  $R$  is a symmetric relation on  $X$  which contains a dense  $G_\delta$  subset of  $X \times X$ , then there is a dense  $G_\delta$  subset  $A$  of  $X$  such that for each  $x \in A$ , there exists a dense  $G_\delta$  subset  $A_x$  of  $X$  with  $\{(x, y) \mid x \in A, y \in A_x\} \subset R$ .*

Combining Lemma 6.3.7 and Lemma 6.3.8, we get the following.

**Lemma 6.3.9.** *Let  $(X, \rho)$  be a complete separable metric space without isolated points. If  $R$  is a symmetric relation on  $X$  which contains a dense  $G_\delta$  subset of  $X \times X$ , then there is an uncountable dense subset  $B$  of  $X$  such that  $B \times B \setminus \Delta \subset R$*

**Theorem 6.3.10.** *Let  $(X, \rho)$  be a compact metric space and let  $T$  be a continuous  $\mathbb{Z}^d$ -action on  $X$ . Then  $T$  is  $k$ -type Li-Yorke chaotic if and only if  $T$  is  $k$ -type distributionally chaotic in a sequence.*

*Proof.* Suppose that  $T$  is  $k$ -type Li-Yorke chaotic, then  $T$  has an uncountable  $k$ -type Li-Yorke scrambled set  $D \subset X$ . Since  $X$  is second countable, so is  $D$ , hence we can choose a countable dense subset  $S$  of  $D$ . By Lemma 6.3.5, there exist a  $k$ -type increasing sequence  $Q = \{q_i\}$  of points in  $\mathbb{Z}^d$  with  $q_1 \stackrel{k}{>} \mathbf{0}$ , such that  $S$  is  $k$ -type distributionally scrambled set in the sequence  $Q$ . Also from Lemma 6.3.6, it follows that  $S \times S$  is a  $G_\delta$  set in  $X \times X$ . Let  $E$  be the set of all  $k$ -type distributionally scrambled pairs in the sequence  $Q$ . Now  $S \times S \setminus \Delta \subset E$  and  $S$  is dense in  $\overline{D}$ . By Lemma 6.3.9, there exists an uncountable dense set  $K \subset \overline{D}$  such that  $K \times K \setminus \Delta \subset E$ . Thus  $T$  is  $k$ -type distributionally chaotic in a sequence.  $\square$

**Corollary 6.3.11.** *Let  $(X, \rho)$  be a compact metric space and let  $T$  be a continuous  $\mathbb{Z}^d$ -action on  $X$ . If  $T$  is  $k$ -type weakly mixing then  $T$  is  $k$ -type distributionally chaotic in a sequence.*

*Proof.* Since  $T$  is  $k$ -type weakly mixing, from Theorem 6.2.6 it follows that  $T$  is  $k$ -type Li-Yorke chaotic. Hence by Theorem 6.3.10, we have that  $T$  is  $k$ -type distributionally chaotic in a sequence.  $\square$

Following diagram summarizes the results obtained in the chapter.

$k$ -type weakly mixing  $\Rightarrow$   $k$ -type Li-Yorke chaos

$\Updownarrow$

$k$ -type distributional chaos in a sequence