

Rational Design of Transition Metal Single-Atom Catalyst Using Density Functional Theory

An Executive Summary

of the thesis submitted to

The Maharaja Sayajirao University of Baroda

for the award of the degree of

DOCTOR OF PHILOSOPHY

IN

PHYSICS



By

Tanna Hemangkumar

FOS/2296 (31/08/2021)

Under the Supervision of

Prof. Prafulla K. Jha

Department of Physics, Faculty of Science

THE MAHARAJA SAYAJIRAO UNIVERSITY OF BARODA

Vadodara, INDIA

April, 2024

Contents

Preface	i
Acknowledgements	iii
List of Figures	ix
List of Tables	xiii
1 Single-Atom Catalyst: An Introduction	1
1.1 Types of Catalysis	2
1.2 Heterogeneous Catalysis	2
1.2.1 An Overview	2
1.2.2 Physical Phenomenon Occurring on the Surface of Catalyst	4
1.2.3 Fundamental Principles Governing Heterogeneous Catalysis	5
1.3 Single-Atom Catalyst	9
1.3.1 Transition-Metal SACs	11
1.3.2 Role of Support	12
1.4 Reactions under Investigation	13
1.4.1 CO Oxidation Reaction	13
1.4.2 Hydrogen Evolution Reaction	14
1.4.3 Water-Gas Shift Reaction	14
1.5 Motivation and Objectives of the Thesis	15
1.6 Thesis Organization	17
2 Computational Methodology	27
2.1 Many-body Problem	27
2.2 Eigen Function Based Approximations	29
2.2.1 Born-Oppenheimer Approach	29
2.2.2 Hartree Approach	30
2.2.3 Hartree-Fock Approach	32
2.3 Density Based Approximations	33
2.3.1 Thomas-Fermi Approach	33
2.3.2 Hohenberg-Kohn Approach	34

2.3.3	Kohn-Sham Approach	36
2.4	Exchange and Correlation Functionals	37
2.4.1	Local Density Approximation	38
2.4.2	Generalized Gradient Approximation	38
2.4.3	Hybrid Approximation	39
2.5	Electronic Approximations	39
2.5.1	Plane-wave and Pseudopotentials	39
2.5.2	van der Waals Corrections	41
2.6	Electronic Properties	42
2.6.1	Density of State	42
2.6.2	Electronic Reactivity Descriptors	44
2.7	Reaction Mechanism Analysis	45
2.7.1	Regular NEB Method	45
2.7.2	Climbing Image NEB Method	46
2.8	Computational and Visualization Packages	46
3	Cobalt Supported Ψ-Graphene: A Proficient SAC for CO Oxidation Reaction	52
3.1	Introduction	53
3.2	Methodology	55
3.2.1	Total Energy Calculation	55
3.2.2	Electronic Reactivity Descriptors (ERDs) calculation	55
3.2.3	Activation Energy and Minimum Energy Path calculation	56
3.3	Results and Discussion	56
3.3.1	Structural stability and electronic properties of Co@PG	56
3.3.2	Adsorption of species over Co@PG	60
3.3.3	CO oxidation over Co/PG	64
3.3.3.1	LH Mechanism	64
3.3.3.2	ER Mechanism	66
3.4	Conclusion	67
4	Catalytic Activity of Ni SACs Towards Hydrogen Evolution Reaction	74
4.1	Introduction	75
4.2	Methodology	77
4.2.1	Total Energy Calculation	77
4.2.2	Minimum Energy Path and Activation Energy Computation	77
4.3	Results and Discussion	78
4.3.1	Structural Analysis	78
4.3.2	Reaction Mechanism and H-Adsorption	79
4.3.3	Minimum Energy Path and Activation Energy Calculations	82
4.3.3.1	VH Mechanism	82
4.3.3.2	VT Mechanism	83
4.4	Conclusion	84

5	Water-Gas Shift Activity of Transition Metal SACs	89
5.1	Introduction	90
5.2	Methodology	92
5.2.1	Total Energy Calculation	92
5.2.2	Minimum Energy Path and Activation Energy Computation	92
5.3	Results and Discussion	93
5.3.1	Structural Analysis	93
5.3.2	Interaction of Reactants with M@AlN and Reaction Mechanisms	94
5.3.2.1	Formate Mechanism	96
5.3.2.2	OH-Assistive Mechanism	96
5.3.3	Minimum Energy Path and Activation Energy Calculations	97
5.3.3.1	Formate Mechanism	97
5.3.3.2	OH-Assistive Mechanism	98
5.4	Validating the CI-NEB Results	99
5.5	Feasibility of the Reaction	101
5.6	Conclusion	102
6	Conclusions and Future Prospects	106
6.1	Conclusion	107
6.2	Future Prospects	110
A	Appendix	112
A.1	Supporting Information of Chapter 3	112
A.2	Supporting Information of Chapter 4	113
B	Appendix	118
B.1	List of Publications	118

Contents

Thesis Outline	2
1 Single-Atom Catalyst: An Introduction	2
2 Computational Methodology	5
3 Cobalt Supported Ψ -Graphene: A Proficient SACs for CO Oxidation Reaction	6
4 Catalytic Activity of Ni-SACs Towards Hydrogen Evolution Reaction	7
5 Catalytic Performance of Transition Metal SACs for Water-Gas Shift Reaction	8
6 Conclusion and Future Prospects	9
References	10

Thesis Outline

This thesis has been divided into six chapters starting with introduction comprising of basic idea about background of heterogeneous catalysis, origin and advances of SACs, challenges in designing SACs and work done on the proposed objectives. In chapter 2, the computational methodology to study the geometric, electronic and catalytic properties of considered system for various catalytic reactions is discussed in detail. In chapter 3, we presented our work about catalytic performance of cobalt (Co) SACs supported over Ψ -graphene substrate (Co@PG) towards electrocatalytic CO oxidation reaction. Further, the catalytic behaviour of nickel (Ni) SACs spread over graphene and graphene like 2D materials like AlC, AlN, h-BN, BeO and MgO (Ni@2D), towards hydrogen evolution reaction (HER) is investigated and presented in chapter 4. Chapter 5 presents the study catalytic activity of various TMs like Ni, Pd, Pt, Cu, Ag and Au supported over AlN (M@AlN), for water-gas shift (WGS) reaction. The conclusion and the future aspects of the work presented in thesis is discussed in chapter 6.

1 Single-Atom Catalyst: An Introduction

Catalysis is of paramount importance having its affirmative impact in various scientific, industrial, environmental and daily-life domains. The term ‘catalysis’ was introduced by Berzelius in 1836 and defined it as catalyst have special powers that alters the affinity of chemical substances. In 1895, Ostwald redefined the definition of catalyst as ‘it accelerates the chemical reaction without affecting a chemical equilibrium’. Thus, catalyst and catalysis are two long-standing terms having ever-increasing impact on society demands an unceasing research and development in the field. In this regard, there is a persistent advancement in tools, techniques and technologies in both theoretical as well as experimental ways for designing an efficient catalyst for various catalytic reactions. [1]

Traditionally, metals, especially transition metals (TMs) and their alloys have been extensively studied for catalytic purpose due to their excellent catalytic activity and selectivity, owing to their partially filled d-orbitals and variable oxidation states [2]. Also, the fact that

catalytic properties of TM-based catalysts influenced enormously by the particle size. As the particle size is reduced from bulk to 2D to nanoparticles (NPs) and nanoclusters (NCs), the catalytic activity enhances greatly [3]. This approach of reducing the particle size from bulk to NPs/NCs, took one-step ahead by reducing the size to an atomistic scale, wherein an atomically dispersed atoms over a substrate that act as an active site to perform the reaction. This way of designing a catalyst is termed as single-atom catalysts (SACs), the word coined by in 2011, where they investigated Pt SACs on FeO_x for CO oxidation reaction [4–6]. They reported amplified activity of Pt-SACs compared to the respective NPs/NCs or higher dimensional catalyst. Thereafter, this strategy acquired heap of interest and attention from the researchers across the globe in the last decade or so. There has been exponential growth in exploring SACs, specifically SACs comprising of TMs like Pt, Pd, Ag, Au, Cu, Ni, Co and others are at the core interest of scientists and are widely investigated for prime environmental and industrial and chemical reactions like CO oxidation reaction, CO_2 reduction reaction, hydrogen evolution reaction (HER), oxygen evolution reaction (OER), water-gas shift (WGS) reaction, ammonia (NH_3) synthesis and many more and are found to exhibit exemplary catalytic activity [7]. The origin of the enhanced catalytic activity of TMs based SACs is the emergence of frontier orbitals (FOs) arising due to the introduction of quantum confinement effect at an atomistic scale. The examination of interaction of FOs of the SACs with the adsorbate is of central prominence to gain profound insight of catalytic behaviour of the SACs [2, 8].

Although, ample amount of work has already been done towards SACs in both theoretical as well as experimental domains, yet there are lots of vague questions in front of research community. The fundamental challenge is to understanding the role the support/substrate on which the single-atoms are deposited plays [9]. As the support used to anchor the single-atoms in designing SACs plays significant role in stabilizing the atoms but it also alters the electronic structure of the atom, there by impacting the electrocatalytic behaviour of the SACs greatly. Thus, it is of foremost importance to investigate and interpret the impact of role to design an effective SACs. So far, two dimensional (2D) sheets of various kinds of materials like carbon-based materials like, oxides, nitrides, chalcogenides etc., are explored as a support for designing the SACs. Although, these materials are examined comprehen-

sively for various SACs towards different chemical reaction, but the vast reservoir of these substrates combining with various TMs and large number of reactions to study, makes this area of research always inquisitive to the researchers [10–12].

Exhilarated by the above-mentioned fact, we decided to delve into the pool of options at disposal in the field of SACs. In this thesis, we present an extensive and exhaustive computational inquest of electrocatalytic behaviour of TMs based SACs supported over 2D sheets towards some cardinal chemical reactions like CO oxidation reaction, WGS reaction and HER. Firstly, we investigated catalytic activity of cobalt (Co) single-atom spread over the newly predicted graphene allotrope; Ψ -graphene towards electrocatalytic CO oxidation reaction [13]. In the next work, we explored different TMs like Ni, Pd, Pt, Cu, Ag and Au SACs atomically embedded into 2D AlN substrate for efficient WGS reaction. In another work, we examined the catalytic performance of Ni SACs injected into various kinds of 2D materials like graphene, AlC, AlN, h-BN, BeO and MgO towards HER. For all of the considered reactions, we considered various reaction mechanisms and the catalytic efficiency is contemplated in terms of minimum energy path and activation energy calculations by employing CI-NEB method. The works presented in thesis are comprehensively investigated and are systematically analysed to draw an accurate and upright conclusion. This thesis provides a meticulous computational approach of designing a potent and industries-applicable TMs based SACs towards prime chemical reactions and possesses an ample scope to be inspected experimentally.

This chapter begins with the introduction and importance of catalysis for sustainable world, followed by the thorough discussion over the subject, its development from the initial to the origin of single-atom catalysts (SACs), its current status of research by providing some examples of recent research. This chapter will certainly build the foundation of the thesis, providing a thorough background about the topic, the motivation behind working over the problem and the objectives of the thesis.

2 Computational Methodology

In this chapter, we discussed the background and development of the first-principles based density functional theory (DFT) implemented as an elementary tool in the investigation of all the work presented in the thesis. In order to find the ground state energy and geometry of the considered system, we employed Quantum ESPRESSO (QE) simulation package [14,15], which basically solves the famous Kohn-Sham equation by solving the self-consistent field cycle as shown in Fig.1. For the accurate prediction of interaction between substrate and adsorbates, the dispersion corrections D3 within implemented in the QE is employed in all calculations [16]. The improved d-band model for the spin-dependent properties of TMs is considered to compute electronic reactivity descriptors like d-band centre, d-band width, and higher moments of d-band [17, 18]. The minimum energy path (MEP) and transition state for the considered reactions is sketched using the climbing-image nudged elastic band (CI-NEB) method proposed by Henkelman et al. (implemented in the QE) [19,20].

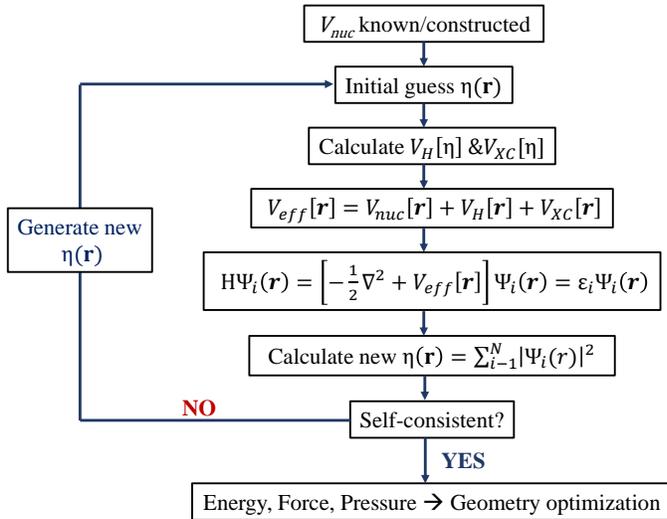


Figure 1: A typical self-consistency loop implemented in Quantum ESPRESSO code to compute the ground state of a material by solving the Kohn-Sham equations..

3 Cobalt Supported Ψ -Graphene: A Proficient SACs for CO Oxidation Reaction

In this chapter, we present our work on investigation of catalytic behaviour of Co SACs supported over recently predicted Ψ -graphene substrate (Co@PG) towards poisoning-free CO oxidation reaction which is the reaction between CO and O₂ molecule to produce CO₂. Here, we will discuss about the change in electronic properties of Co-atoms brought by its interaction with the substrate, that resulted in enhanced catalytic activity as predicted by the d-band data analysis. Further, we will discuss about the reduced CO poisoning effect of Co@PG, as the Co@PG prefers O₂-adsorption rather than CO adsorption, which increases the longevity of Co@PG. Based on the adsorption/co-adsorption energy criteria of CO, O₂ molecules, we shortlisted Langmuir-Hinshelwood (LH) and Eley-Rideal (ER) mechanisms of CO oxidation reaction. We performed complete CO oxidation reaction over Co@PG by both the mechanisms and computed minimum energy path (MEP) and activation energy (E_a) by employing CI-NEB method. Accordingly, Co@PG is found to be a potent, non-noble transition metal SACs for CO oxidation reaction preferring ER mechanism with E_a values are 0.19 eV and 0.27 eV for 1st and 2nd half reaction of CO oxidation, respectively [21].

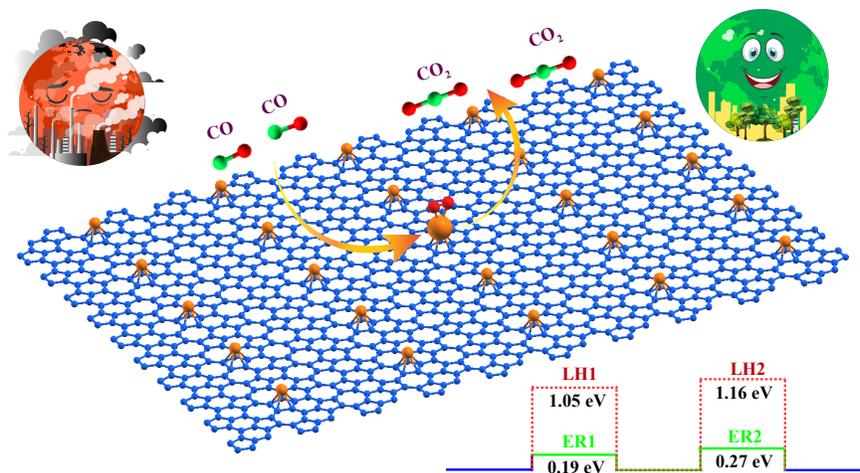


Figure 2: A graphical abstract summarizing the above chapter.

4 Catalytic Activity of Ni-SACs Towards Hydrogen Evolution Reaction

We will discuss catalytic activity of Ni SACs supported over graphene and graphene like substrates for hydrogen evolution reaction (HER) in this chapter. Here, we considered various kinds of substrates like carbon-based (graphene and AIC), nitrides (h-BN and AlN) and oxides (BeO and MgO) to anchor Ni-atoms (Ni@2D), which acts as an active site for electrocatalytic HER which is basically the cathodic-half reaction of overall water-splitting method. In this chapter we will discuss about various anchoring sites like top, bridge, hollow and defects that lead to the stability of Ni SACs. Further, we will discuss about the differential Gibbs free energy of H-adsorption (ΔG_H) which provides quantitative idea about the strength of H-adsorption. As having large number of systems and many anchoring sites,

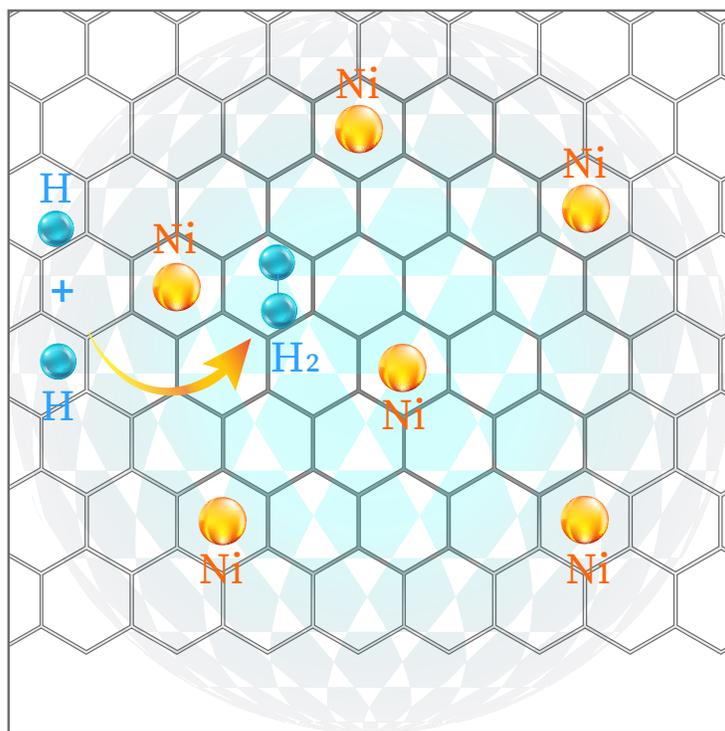


Figure 3: A graphical abstract summarizing the above chapter.

we shortlisted some of the configurations of Ni@2D on the basis of ΔG_H to further investigate complete reaction profiles of HER. We considered Volmer-Heyrovsky (VH) and Volmer-Tafel

(VT) mechanism of HER and computed MEP and E_a for the shortlisted systems. Our study found that Ni@AlN and Ni@h-BN are potential, eco-operational candidates to replace the existing high-cost Pt-based catalyst [22].

5 Catalytic Performance of Transition Metal SACs for Water-Gas Shift Reaction

In this chapter, we will discuss about the catalytic behaviour of transition metals (TMs) based SACs supported over AlN substrate (M@AlN), M=Ni, Pd, Pt, Cu, Ag, Au, towards water-gas shift (WGS) reaction, which is the reaction between CO and H₂O to produce CO₂ and H₂ molecule as a product. Here we will discuss the significance of WGS reaction not only in production of ultra-pure H₂ but also in conversion of CO to CO₂. Here, we will discuss about the diffusion of considered M-atoms over AlN, to examine the cluster formation possibility of the SACs. Further, we have discussed the formate and OH-assistive mechanisms of WGS reaction over M@AlN and examined MEP to compute E_a using CI-NEB method. Overall, OH-assistive mechanism is found to be preferred over formate mechanism, with Au@AlN and Cu@AlN showing superior catalytic activity with E_a values 0.14 eV and 0.21 eV, respectively. The obtained E_a values are further validated with the linearity between E_a and co-adsorption energy of CO-OH molecule. Further the feasibility of the reaction is discussed in terms of relation between E_a and reaction energy (E_R) by employing energetic span model.

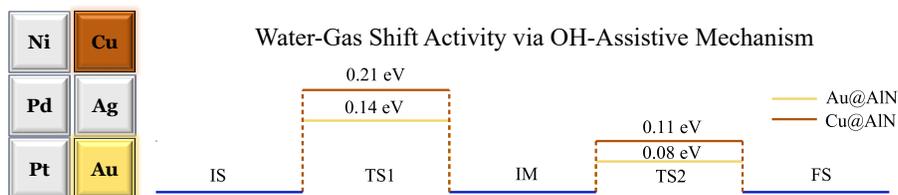


Figure 4: A graphical abstract summarizing the above chapter.

6 Conclusion and Future Prospects

This chapter will summarize and conclude the results and discussions done in the previous chapters. It also discusses about the motivation and objectives fulfilled which are presented in the chapter 1 of the thesis. Here, we will highlight the thesis in brief by emphasizing on the extraordinary results we obtained from the first-principles calculations. The summary in this chapter will be followed by the future prospects of the work done here and future scope of the work in a way to extrapolate it further and the exploration of the work by experimental point of view, to check the possibility of designing a practicable SACs working with similar efficiency. This chapter will end with my plans to further explore the field of SACs and work on the possibilities and opportunities it brings from research perspectives.

References

- [1] Teona Taseska, Wanqing Yu, Madeleine K Wilsey, Connor P Cox, Ziyi Meng, Soraya S Ngarnim, and Astrid M Müller. Analysis of the scale of global human needs and opportunities for sustainable catalytic technologies. *Topics in Catalysis*, 66(5):338–374, 2023.
- [2] Zhaoming Fu, Bowen Yang, and Ruqian Wu. Understanding the activity of single-atom catalysis from frontier orbitals. *Physical review letters*, 125(15):156001, 2020.
- [3] Lichen Liu and Avelino Corma. Metal catalysts for heterogeneous catalysis: from single atoms to nanoclusters and nanoparticles. *Chemical reviews*, 118(10):4981–5079, 2018.
- [4] Botao Qiao, Aiqin Wang, Xiaofeng Yang, Lawrence F Allard, Zheng Jiang, Yitao Cui, Jingyue Liu, Jun Li, and Tao Zhang. Single-atom catalysis of co oxidation using pt1/feox. *Nature chemistry*, 3(8):634–641, 2011.
- [5] Aiqin Wang, Jun Li, and Tao Zhang. Heterogeneous single-atom catalysis. *Nature Reviews Chemistry*, 2(6):65–81, 2018.
- [6] Sharon Mitchell and Javier Pérez-Ramírez. Single atom catalysis: a decade of stunning progress and the promise for a bright future. *Nature Communications*, 11(1):4302, 2020.
- [7] Selina K Kaiser, Zupeng Chen, Dario Faust Akl, Sharon Mitchell, and Javier Perez-Ramirez. Single-atom catalysts across the periodic table. *Chemical reviews*, 120(21):11703–11809, 2020.
- [8] Jin-Cheng Liu, Feng Luo, and Jun Li. Electrochemical potential-driven shift of frontier orbitals in m–n–c single-atom catalysts leading to inverted adsorption energies. *Journal of the American Chemical Society*, 145(46):25264–25273, 2023.
- [9] ZW Chen, LX Chen, CC Yang, and Q Jiang. Atomic (single, double, and triple atoms) catalysis: frontiers, opportunities, and challenges. *Journal of Materials Chemistry A*, 7(8):3492–3515, 2019.

- [10] SJ Tauster, SC Fung, and RL L Garten. Strong metal-support interactions. group 8 noble metals supported on titanium dioxide. *Journal of the American Chemical Society*, 100(1):170–175, 1978.
- [11] Manoj B Gawande, Paolo Fornasiero, and Radek Zboril. Carbon-based single-atom catalysts for advanced applications. *ACS Catalysis*, 10(3):2231–2259, 2020.
- [12] Rui Gusmao, Martin Vesely, and Zdenek Sofer. Recent developments on the single atom supported at 2d materials beyond graphene as catalysts. *Acs Catalysis*, 10(16):9634–9648, 2020.
- [13] Xiaoyin Li, Qian Wang, and Puru Jena. ψ -graphene: a new metallic allotrope of planar carbon with potential applications as anode materials for lithium-ion batteries. *The journal of physical chemistry letters*, 8(14):3234–3241, 2017.
- [14] Paolo Giannozzi, Stefano Baroni, Nicola Bonini, Matteo Calandra, Roberto Car, Carlo Cavazzoni, Davide Ceresoli, Guido L Chiarotti, Matteo Cococcioni, Ismaila Dabo, et al. Quantum espresso: a modular and open-source software project for quantum simulations of materials. *Journal of physics: Condensed matter*, 21(39):395502, 2009.
- [15] Paolo Giannozzi, Oliviero Andreussi, Thomas Brumme, Oana Bunau, M Buongiorno Nardelli, Matteo Calandra, Roberto Car, Carlo Cavazzoni, Davide Ceresoli, Matteo Cococcioni, et al. Advanced capabilities for materials modelling with quantum espresso. *Journal of physics: Condensed matter*, 29(46):465901, 2017.
- [16] Stefan Grimme, Jens Antony, Stephan Ehrlich, and Helge Krieg. A consistent and accurate ab initio parametrization of density functional dispersion correction (dft-d) for the 94 elements h-pu. *The Journal of chemical physics*, 132(15):154104, 2010.
- [17] Bjørk Hammer and Jens Kehlet Nørskov. Theoretical surface science and catalysis—calculations and concepts. In *Advances in catalysis*, volume 45, pages 71–129. Elsevier, 2000.

- [18] Satadeep Bhattacharjee, Umesh V Waghmare, and Seung-Cheol Lee. An improved d-band model of the catalytic activity of magnetic transition metal surfaces. *Scientific reports*, 6(1):1–10, 2016.
- [19] Graeme Henkelman and Hannes Jónsson. Improved tangent estimate in the nudged elastic band method for finding minimum energy paths and saddle points. *The Journal of chemical physics*, 113(22):9978–9985, 2000.
- [20] Graeme Henkelman, Blas P Uberuaga, and Hannes Jónsson. A climbing image nudged elastic band method for finding saddle points and minimum energy paths. *The Journal of chemical physics*, 113(22):9901–9904, 2000.
- [21] Hemang P Tanna, Bhumi A Baraiya, and Prafulla K Jha. Co implanted ψ -graphene: A non-noble metal single-atom catalyst for proficient co oxidation reaction. *Molecular Catalysis*, 556:113907, 2024.
- [22] Hemang P Tanna and Prafulla K Jha. A theoretical inquest of atomically injected ni-atom over graphene and analogous substrates for hydrogen evolution reaction. *Electrocatalysis*, pages 1–9, 2024.