

2. LITERATURE REVIEW:

2.1. *Utility of MSNs in the field of cancer:*

One of the severe health menace for human beings is cancer as it leads to a huge burden in all developed, developing and under developed countries alike. A large number of new cases of cancer are being reported annually (1, 2). From the present scenario, it is predicted that by the end of 2030 almost 70% people would be affected by cancer due to the current prevailing conditions (3). In spite of the advances made in tumor therapeutics, the low solubility of many anticancer drugs hampers their genuine application. A large portion of the anticancer medications right now accessible fall into the biopharmaceutical classification system (BCS) II and IV having dissolvability confinements (4-6). Besides 70% of the new therapeutic candidates discovered also face similar complications of low solubility (7, 8). By solving the solubility issues, one can also aim to improve the bioavailability of the same as it is directly associated with the solubility of BCS class II drugs and for class IV drugs, solubility could also improve the bioavailability to a fewer extent due to permeability limitations present. Therefore, increasing the drug solubility has turned out to be the most imperative task to be undertaken. The development of novel delivery systems for these molecules without the use of organic solvents, synthesis of prodrug or salt formation have received noteworthy attention now a days with respect to chemical alteration (9, 10). Amongst wide methodologies available for solubility enhancement, *e.g.* particle size reduction, polymorphs or pseudopolymorphs formation, complexation, solubilisation, preparation of drug dispersions *etc.* the present scenario includes strategies that rely heavily on employing nano sized particles *i.e.* formulating a nano drug delivery system. This concept has been expanded in a couple of decades as the conversion of macro sized particles in nano scale (micronization, nanonization) is a well-established strategy to radically improve the surface area and ultimately boost the solubilisation process (10).

Nanoparticles (NPs) hold an enormous potential as a successful medication conveyance framework. In recent decades, they have gained vital attention owing to their pronounced application in multi-disciplinary fields. They have been successfully used for solubility enhancement, bioavailability enhancement, controlled and sustained drug delivery system, targeting the diseased tissue *etc.* (11). For this purpose, many nano systems have been explored so far, nevertheless mesoporous silica nanoparticles (MSNs) are in the limelight because of

their unique features. MSNs are the most sought after candidates in the field of nano drug delivery system. Mesoporous materials are presently in the limelight due to their potential applications in catalysis, adsorption, ecology, nanotechnology, chemical and biological separation, chromatography, photonic and electronic device preparation and medical uses. Since last decade MSNs have shown tremendous potential in drug delivery applications. In the pharmaceutical field, MSNs can be used successfully to satisfy various purposes like enhanced dissolution rate and bioavailability, targeting the desired cells and effective diagnosis of various diseases. Despite the fact that MSNs have high potential in theranostic fields as well, much more research and in depth investigation is needed to fully explore their abilities as nanocarriers (12-14).

Because of the unique and tailor made pore size and particle size, the MSNs have been successfully used for solubility enhancement and this could in turn enhance the bioavailability of many anti-cancer drugs. E.g. She and co-worker has synthesized 5-flouro uracil loaded bare and amine decorated nanoparticles and also had proved that amine coated nanoparticle served as potential intracellular 5-fluorouracil delivery vehicles for cancers (15). Besides, Hartono et al had formulated curcumin loaded amine decorated nanoparticles as drug delivery carriers for bioavailability enhancement of curcumin. Wherein, they had proved improved dissolution and in vivo performance of curcumin loaded nanoparticles as compared to plain curcumin and mesoporous silica micron sized-particles (16). Another group *i.e.* He *et al* had synthesised paclitaxel encapsulated MSNs to improve the solubility of respective poorly soluble drug (17).

Further, the utility of MSNs is not limited to bioavailability enhancement only but it is extensively employed in targeting the various types of cancer cells. The stimuli responsive smart polymeric drug delivery system is gaining an attention now a day especially in tumor targeting. It is very easy to modify the external surface of MSNs to make it stimuli/receptor based drug delivery system. In particular, external surface decoration of mesoporous by different stimuli responsive is in the limelight now days due to its biocompatibility, large surface area, uniform and tunable pore size, noteworthy loading efficiency with zero premature release *etc.* Temperature, pH, redox, *etc* are the various stimuli by which one can focus the drug delivery to target site only (2, 18). For an instant, Daryasari and group had formulated chitosan-folate coated MSNs to serve the purpose of both active and passive targeting of curcumin in the cancer cells. Wherein, they had demonstrated selective uptake of folic acid attached nanoparticles by cancer cells following the active targeting by FA receptors (19). Additionally, Yu et al had coated the Doxorubicin loaded bare MSNs with the hyaluronate to

have active targeting by CD44 receptors and thus this system exhibited an potential to target CD44 overexpressed cancer cells (20). Further, Gang pan and co-worker had formulated 5-FU encapsulated poly(oligo(ethylene glycol) monomethyl ether methacrylate) anchored and RGD functionalized mesoporous silica nanoparticles for colon cancer targeted drug delivery system. They have also proved higher accumulation efficacy of RGD attached MSNs inside the colon cells relative to uncoated MSNs (21). Likewise, Wang and co-authors had engineered paclitaxel filled core-shell MSNs which exhibited improve drug release and pharmacokinetics behaviour. Furthermore, the in vitro cellular uptake study on human lung cancer cell line A549 investigation also demonstrated the potential pulmonary administration of Paclitaxel and its efficacy in lung cancer (22). Moreover, Zhao *et al* have formulated redox responsive MSNs for the co-delivery of si-RNA and doxorubicin by forming a disulfide linkage over the surface of bare MSNs which worked as a gatekeeper and was utilized to target intracellular GSH and thus it served as a potential drug delivery system for controlled and targeted release of cargo to the target of choice (23). Besides all this, the other anti-cancer moiety viz., docetaxel (24), anisomelic acid (25), camptothecin (26) *etc* have been actively or passively targeted by framing them into the MSN skeleton successfully.

Apart from the application of MSNs in the cancer filed, MSNs are also widely used for solubility and/or bioavailability enhancement of drugs belonging to other category viz., ibuprofen (27) and ketoprofen (28) (anti-inflammatory drugs), Erythromycin (29), amikacin (30), cefuroxime and vancomycin (31) (antibiotics), Telmisartan (32) and captopril (33) (anti-hypertensive), griseofulvin (34) (anti-fungal), budesonide (35) (steroid), cyclosporine (36) (immunosuppressant) *etc*.

With all these options available, pH is the most easiest and feasible way to achieve stimuli responsive drug delivery and Chitosan is the commonly used polysaccharide in the drug delivery system due to its non-toxic, biocompatible, bio degradative nature. The presence of the abundant amine group on the outer surface make chitosan freely soluble in the pH range of 1 to 11. Moreover, being a polysaccharide, it also controls the drug release. Additionally, the unique feature of swelling at lower pH makes chitosan a favourable candidate to formulate a pH responsive (37, 38). Besides chitosan, hyaluronic acid (HA) is also used to serve a dual purpose *i.e.* pH responsive and receptor base targeted nano drug delivery system. HA is a biodegradable, biocompatible and non-immunogenic glycosaminoglycan. It is also essential for proper cell growth, organ structure stability and tissue organization. HA has a dual property *i.e.* pH responsive property along with receptor targeting capacity. The unique pH sensitive

hydrazine bond will direct the higher drug release from HA coated nanoparticles in acidic media. Furthermore, it is also used as a targeting moiety as it is having a specific affinity to bind with the CD44 receptor which selectively overexpressed in cancer (20, 39).

Aforementioned two strategies for formulating pH based nanosystems were implemented along with an active targeting mechanism following active targeting to FA receptor using folic acid in this investigation. Amid, all the mesoporous carriers available, MCM-41 and MCM-48 have explored in this investigation to satisfy the purpose of bioavailability enhancement as well as to form the stimuli and receptor based targeted drug delivery system.

2.2. Selection of active pharmaceutical ingredients:

2.2.1. Raloxifene hydrochloride (RLX):

RLX is a potent anti-cancer drug used in the treatment of breast cancer. Besides this, it is having its potential application in the treatment of osteoporosis and cancer especially in breast cancer. It is a second generation selective estrogen receptor modulator (SERM) with established estrogenic activity which make it a potential candidate to be used in the therapy of osteoporosis (40, 41). Being a BCS class II drug (biopharmaceutical classification system), it faces a solubility issue which becomes a major obstacle to its efficacy. Many research groups have explored RLX to satisfy different purposes. E.g. Shah *et al* have synthesized nanostructured lipid carrier for bioavailability enhancement of RLX (42). Bikiaris *et al* have formulated biodegradable polyesters drug carrier to enhance bioavailability and solubility of RLX hydrochloride (43). Similarly, Jay Prakash *et al* have constructed Gellan gum nanoparticles for bioavailability enhancement of the same (44). Fontana *et al* had formulated Eudragit coated RLX nanocapsules to control release to improve the anti-proliferative effect (45). Agardan NB and co-worker had formulated RLX loaded liposomes and cochleates. The efficiency of formulation was tested on human breast carcinoma cell line *i.e.* MCF-7 and they have demonstrated an inhibition of MMP-2 enzymes by RLX loaded cochleates containing DM- β -CD (46). But no research work has yet been carried out to formulate RLX encapsulated mesoporous silica nanoparticles.

2.2.2. Bexarotene (BXR):

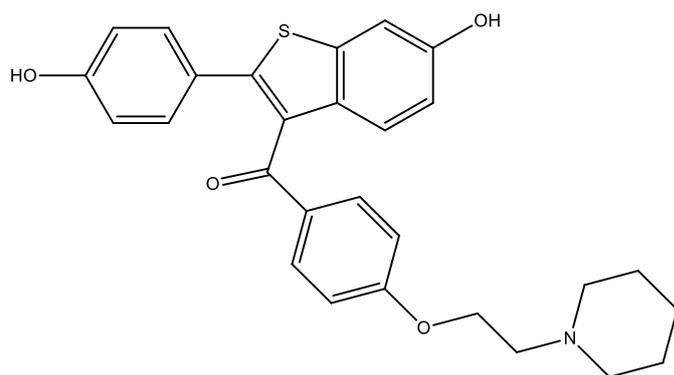
BXR is another API being used in the treatment of breast cancer. As has been BCS class II drug, it faces solubility and permeability problems to show its efficacy. Being a recently discovered molecule, it is not much explored, only a few reports involving formulation of BXR nanoparticle are available. Chen *et al*, have engineered BXR nanocrystals to enhance BXR solubility, where they obtained almost 3 times higher release of BXR from BXR nanocrystal with respect to plain BXR using 0.5% tween 80 surfactant (47). Li *et al* had prepared chitosan folate conjugated nanoparticles as a targeted drug delivery system. They got near to 2.5 times increment in BXR release from its formulation as compared to pure BXR after 120 min of dissolution study in 0.02% Tween 80 and concluded the enhancement in the dissolution rate and the gastrointestinal absorption of the bexarotene loaded folate chitosan coated nanoparticles (48). Another research group had formulated nanocrystal to show its efficacy in lung cancer and they had demonstrated promising effect of BXR in lung cancer using human lung carcinoma cell line A549 (49). Lisi Qi *et al* had incorporated BXR into bovine serum albumin nanoparticles (50). To the best of our knowledge only one mesoporous formulation of BXR has been reported so far wherein Vasile *et al* have synthesized BXR encapsulated bare and amine coated MCM-41 to prepare a pharmaceutical topical formulation (51). However, any reports of mesoporous silica nanoparticle encapsulating BXR and exploring oral and parenteral route are not available till date.

2.3. Physicochemical properties of selected drugs:

2.3.1. RLX:

- Description: It is a second-generation selective estrogen receptor modulator (SERM) used to prevent osteoporosis in postmenopausal women. It has estrogen agonist effects on bone and cholesterol metabolism but behaves as a complete estrogen antagonist on mammary gland and uterine tissue thus also used in the treatment of breast cancer.
- IUPAC name: 2-(4-hydroxyphenyl)-3-(4-[2-(piperidin-1-yl) ethoxy] benzoyl) -1-benzothiophen-6-ol

- Structure:



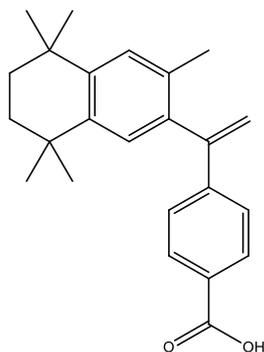
- Formula: $C_{28}H_{27}NO_4S$
- Available marketed formulation: Ralista[®] (a film coated tablet; RLX equivalent to 60mg)
- Melting point: 143-147 °C (RLX)
262-264 °C (RLX-HCl)
- Log P: 5.45
- pKa: 8.89
- Solubility: 0.000512 mg/mL in water
- Chemistry: Raloxifene is a selective estrogen receptor modulator (SERM) of the benzothiophene class, is similar to tamoxifen in that it produces estrogen-like effects on bone and lipid metabolism, while antagonizing the effects of estrogen on breast and uterine tissue.
- Mechanism of action: Raloxifene binds to estrogen receptors, resulting in differential expression of multiple estrogen-regulated genes in different tissues. It also produces estrogen-like effects on bone, reduces the resorption of bone and increases the bone mineral density in postmenopausal women, thus slowing the rate of bone loss. Additionally, the drug also binds to the estrogen receptor and acts as an estrogen agonist in preosteoclastic cells, which results in the inhibition of their proliferative capacity. Raloxifene also antagonizes the effects of estrogen on mammary tissue and blocks uterotrophic responses to estrogen. Thus, it prevents the transcriptional activation of genes containing the estrogen response element.
- Absorption: Approximately 60% of an oral dose is absorbed, but presystemic glucuronide conjugation is extensive. The absolute bioavailability of raloxifene is 2.0%
- Protein binding: 95%
- Metabolism: Hepatic metabolism. It undergoes extensive first pass metabolism to the glucuronide conjugation

- Route of elimination: Primarily excreted through feces.
- Commercial form: Commercially, RLX is available in the form of tablet with 60 mg RLX strength. Various products available are Bonmax, Donmax (Zydus Cadila health care ltd.), Bontact (Cadila Pharmaceutical Ltd.), Essern (Torrent Laboratories Pvt Ltd.), Fiona (Dr. Reddy's Laboratories Ltd.), Ralista (Cipla Limited), Ralofen FC (Lupin Laboratories Ltd) *etc.*

2.3.2. BXR:

- Description: An antineoplastic agent approved by FDA especially for T cell lymphoma. Also used for lung and breast cancer.
- IUPAC name: 4-[1-(3,5,5,8,8-pentamethyl-5,6,7,8-tetrahydronaphthalen-2-yl)ethenyl]benzoic acid

- Structure:



- Formula: $C_{24}H_{28}O_2$
- Available marketed formulation: Targretin[®] capsule (not available in india)
- Melting point: 224-226 °C
- Log P: 6.9
- pKa: 4.07
- Solubility: 0.000149 mg/mL in water
- Chemistry: Bexarotene (BXR) is a novel oral synthetic retinoid, which shows remarkable anti-tumor potential for treating a variety of tumor cells and has turned out to be a strong chemopreventive and chemotherapeutic agent.
- Mechanism of action: It specifically binds to and activates retinoid X receptors (RXR) that will be significantly useful in the treatment of malignancies. It has already been approved by the food and drug administration (FDA) to treat skin issues caused by cutaneous T-cell lymphoma that is unresponsive to different medicines (52). Moreover, a recent study

conducted also demonstrates the usefulness of BXR in Alzheimer disease by suppressing primary nucleation reaction which initiates the synthesis of β -amyloid peptide - an unique hallmark of Alzheimer's disease (53).

- Protein binding: >99%
- Route of elimination: Primarily excreted through urine
- Commercial form: Commercially, BXR is available in the form of capsule with 75 mg BXR strength. Targretin[®] is the commercial formulation of BXR but it is not available in India.

2.4. Need for the current study:

It is well known that, the poor solubility and bioavailability hampers the pharmacological action of drug candidate by hampering its release in the body. Although, a solely increment in the bioavailability will not guarantee that the drug moiety will reach to the disease tissue site only and will not harm the healthy cells and tissues. Therefore, it has become prime important to develop such a formulation which will not only satisfy the bioavailability issue, but also fulfil the aim of targeting the drug moiety to the diseased tissue. The similar concept was undertaken here and nanoparticles which have a capacity to be concentrated inside the cancer cells were formulated.

Nanoparticles can easily extravasate into the tumor interstitium through the leaky vasculature. This leads to higher accumulation of nanoparticles inside the tumor cells as compared to normal cells and this concept is termed as of enhanced permeability and retention (EPR) ability of nanoparticles. Using this concept the nanoparticles are formulated in order to have high retention in the tumor cells. Further, the concept of passive targeting was utilized here to satisfy the goal of higher accumulation of anticancer candidates inside the tumor cells by EPR mechanism. Additionally, the receptor based targeting (active targeting) phenomenon was also studied by selecting folate and CD44 receptor as a targeting receptor which generally overexpressed in the breast cancer (14).

The selected anti-cancer candidates *i.e.* RLX and BXR both are facing a solubility issue as they fall under Biopharmaceutical Classification System (BCS) class II category. The oral bioavailability of the RLX is limited to only 2%, which is accredited to its extensive first pass metabolism (FPM). Roughly 60% of the oral dose undergoes FPM upon oral administration of RLX. The poor aqueous solubility along with significant FPM together contributes towards its lower bioavailability (54, 55). Further, BXR is poorly water soluble with a maximum solubility of about 10–50 μ M in pure water. Thus, it became essential to develop a formulation which

can enhance the solubility of selected drug candidate and in turn it could demonstrate a positive impact on their bioavailability (47). Further, the targeting of this moiety using folate and hyaluronate coated MSNs are not yet reported. The coating of external surface with the targeted ligand may direct the drug to reach their target and thereby it can also reduce the adverse effect of the same due their intake by healthy cells. Collectively, in this investigation two formulations were synthesized for each to satisfy the goal of formulating a nanosystem having solubility enhancement and in turn bioavailability enhancement efficiency and targeting efficiency.

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